



2014 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only:
Grant: <u>20140336</u>

\$18,000

Organization / Agency Information

Organization/Agency Name: El Viento Foundation <u>20823</u>		
Physical Address: 15744 Goldenwest St., c/o GWC Admin 136, Huntington Beach, California, 92647		City/State/Zip
Mailing Address: P.O. Box 3897		City/State/Zip Huntington Beach, California, 92605-3897
CEO or Director: Colleen Mensel		Title: Chief Executive Officer
Phone: 714-892-7711 ext. 51052	Fax: 714-895-8222	Email: Colleen.mensel@elviento.org
Contact Person: Colleen Mensel		Title: Chief Executive Officer
Phone: 714-892-7711 ext. 51052	Fax: 714-895-8222	Email: Colleen.mensel@elviento.org
Web Site Address: www.elviento.org		Tax ID: 33-0905269

Program / Grant Information

Interest Area: Health Environment Animal Protection Education Human Dignity

Program / Project Name: Giving Flight to the Youth of Oak View Community		
Amount of Grant Requested: \$25,000 - <u>\$7,000 Field Trip</u>	Total Organization Budget: \$798,400	Percentage of Organization's Total Budget used for Administration: 20%
Purpose of Grant Request (one sentence): The El Viento program helps students believe in the possibility of a life beyond poverty. Assists students in identifying goals, such as a post-secondary education, which can lead them out of poverty. Also offering opportunities to develop the academic and social skills necessary to pursue such goals.		
Gimbel Grants Received: List Year(s) and Award Amount(s) El Viento Foundation has not previously requested nor received a grant award from the S.L. Gimbel Foundation.		

Signatures

Board President / Chair: (Print name and Title) Jeanne Baird, Chairman	Signature: 	Date: 3/27/14
Executive Director/President: (Print name and Title) Colleen Mensel, Chief Executive Officer	Signature: 	Date: 3/27/14

2014 S.L. Gimbel Foundation Fund APPLICATION Narrative

I. Organization Background; Target Population:

A) What is the history, mission and/or purpose of your organization? How long has the organization been providing programs and services to the community?

El Viento Foundation has been serving students and their families since forming in 1997; it began when a group of Orange County citizens met with a vision to meet the unique needs of the economically depressed and culturally isolated Oak View Community in Huntington Beach. El Viento's strategic plan was modeled after similar organizations such as the *I Have a Dream Foundation*. Our mission is to *provide children and young adults with opportunities for success in life as responsible citizens through a long-term relationship based upon: mutual trust and respect, leadership, teamwork, learning, and skills building.*

B) What are some of your past organizational accomplishments (last three years)?

The first six groups of students have entered college; in the last three years the first eight students have graduated college. These young people are the first in their families to graduate high school and the first to attend college. Recent accomplishments include:

- Participants have a graduation rate of 100%.
- Students have achieved a 97% college matriculation rate.
- Sixty-three percent (63%) of students in grades 10-12 achieved a grade point average of 3.0 or higher, compared to 58% for the overall student body (June 2013).
- STAR scores revealed a much higher portion of 9th, 10th and 11th grade El Viento students ranked higher in proficiency than their counterparts.

C) What are your key programs and activities? Describe the communities you serve. Include populations, geographic locations served, and relevant statistics.

Our program addresses the need for students to believe in the possibility of a life beyond poverty, and then assists students in identifying goals—such as a post-secondary education—which can lead them out of poverty. Equally important, students are offered opportunities to develop the academic and social skills necessary to pursue such goals. Located in Huntington Beach, the Oak View Community is defined by a downward cycle of poverty, underachievement and illiteracy. In an analysis conducted by the City of Santa Ana, the Oak View community would rank as eleventh most impoverished nationwide if it was a city. Demographics include:

- Ninety-nine percent (99%) of students attending Oak View Elementary School are Hispanic or Latino and 77% are English Learners (2012/2013 API).
- All residents—100%—are living below Federal Poverty Level when adjusted for family size which averages 5.67 persons (2011 American Community Survey estimates).
- Seventy-five percent (75%) of Oak View Elementary parents were not high school graduates, and 96% had no college education (2012/2013 API).
- The graduation rate in Oak View is 63%, compared to 78% in Orange County.

II. Project Information:

A) Statement of Need

1. Specify the community need you want to address and are seeking funds for.

This program addresses the need to lead Oak View students from a life of poverty, by assisting them in identifying goals—such as a post-secondary education—and acquiring the academic and social skills necessary to pursue such goals.

B) Project Goal, Objectives and Methodology

1. State your project goal. Describe your project. How does your project meet the community need? What is unique and innovative about this project?

Our goal is to introduce disadvantaged youth from Oak View to greater choices in life along with the education and knowledge to expand their future options.. Unique in its ten year relationship with each student, the program inducts a “Flight” of 25 fourth-graders each spring who commit to the program through their second year of college. Students participate in activities tailored by age group. Program nodes include: academic support beginning in 4th grade; STEM focused activities; University of California requirements; enrichment activities; leadership opportunities; and transition assistance during the first two years of college. College-going behavior is promoted through activities that surpass tutoring to include those that stimulate and nurture social skills, environmental awareness, confidence, and optimism.

2. State up to three objectives. Objectives should be specific, measurable, action-oriented, realistic, and time-specific. Specify the activities you will undertake to meet each objective. Use the following format for your objectives and activities:

Objective I: Achieve a high school graduation rate of 100% for participants eligible to graduate in June 2015. **Activities:**

- Individualized coaching by credentialed teachers, subject-specific tutors, and El Viento college students for 4th – 12th grades based on frequent grade monitoring and student assessment by course.
- College campus visits by both parents and children and training about the college application, acceptance, enrollment process and financial aid options.

Objective II: Maintain a program grade point average, which exceeds that of a matched socioeconomic control group during the 2014/2015 academic year. **Activities:**

- Focus on common core standards.
- Connect learning with real world experiences through field trips.

Objective III: Increase middle school students' science knowledge as measured by pre/post testing during the 2014/2015 academic year. **Activities:**

- Expanded hours for STEM (science, technology, engineering, math) for 4th-9th grades.
- Math and science events and camps during the summer.

Provide a timeline for implementing the project?

This project is ongoing with activities occurring both during the academic year and during the summer months. Evaluation is conducted annually.

3. Who will this grant serve? Describe your target population. How many people will be impacted? Provide a breakdown: Number of Children, Youth, Adults, Seniors, Animals. Include a detailed list of activities and number of participants for each activity.

This program will serve 150 students, age 10-20 and from the economically depressed, culturally isolated Oak View Community. The students are primarily Hispanic who live below the Federal

Poverty Level in a community with a low high school graduation rate (63%); and 77% are English learners. Activities vary by month, and by age, for each of the ten grades we serve yearly; the full program curriculum is lengthy and can be provided upon request.

4. How does this project relate to other existing projects in the community? Who else in the community is providing this service or has a similar project? Who are your community partners (if any)? How are you utilizing volunteers?

Other projects in the area do not cover the school districts of our students (THINK Together), or their programs have no long term commitment from students (Boys and Girls Club) or connection to school curriculum (YMCA). Program partnerships include: the Tiger Woods Learning Center; Girls Inc.; Discovery Science Center and the Sailing Center. In-kind support is provided by Coast Community College District, Ocean View School District, and Huntington Beach Union High School District. Parents serve as chaperones; other volunteers mentor.

C) Project Outcomes and Evaluation

1. What are the key anticipated outcomes of the project and impact on participants?

Educational attainment allows students to establish a more secure future and escape the poverty cycle. The presence of successful youth represents systemic, positive change to this community.

2. How will you know if you have achieved the expected outcomes?

Through annual evaluation of student grades, graduation rates, pre- and post-testing.

3. How will progress towards the objectives be tracked and outcomes measured?

Our success relative to students is measured and compared to socioeconomically matched counterparts throughout the program duration by a third-party evaluation company.

D) How will you use the grant funds?

If awarded, we will use the grant funds to pay for program expenses, specifically individualized coaching which is crucial to maintaining grades and graduating.

III. Project Future

- A) Explain how you will support this project after the grant performance period.

We have diverse sources of funding including: our board of directors; corporate and foundation grants; generous cash and in-kind gifts from philanthropic individuals, corporations, and local businesses; and an annual fundraising event. These all ensure sustainability.

IV. Governance, Executive Leadership and Key Personnel/Staff Qualifications

- A) Describe your board of directors and the role it plays in the organization. What committees exist within your board of directors? How does the board make decisions?

Directors include collaborative partners, retired educators, student mentors, local business owners, and community stakeholders. The board provides financial support and oversees governance according to legal protocol. Committees include: executive, program, board development, fund development, and finance. Decisions emphasize respectful discourse, procedure, prudence, and above all, best interests of students and community.

- B) Describe the qualifications of key personnel/staff responsible for the project.

Ms. Lisa Diaz, Program Director, Elementary & Middle School Programs, is a multiple subject credentialed teacher with twelve years of experience in the Ocean View School District. Ms. Ericka Enz, Program Director, High School and College Programs, has spent the past fourteen years as a Career Counselor for the Huntington Beach Union High School District.

2014 S.L. Gimbel Foundation APPLICATION

V. Project Budget

A) Please provide a detailed line-item budget for your project by completing the table below. Include all sources of funding for the proposed project.

Line Item Description	Line Item Explanation (Formula/equation used as applicable. Example: 40 books @ \$100 each = \$4000)	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Program Director, Elementary/Middle	80% of time on project	\$27,000	\$10,000	\$7,000	\$44,000
Program Director, High School/College	80% of time on project	\$22,000	\$15,000	\$7,000	\$44,000
Program Assistant	100% of time	\$21,980	\$2,500		\$24,480
Tutoring Staff		\$1,325	\$10,000	\$2,000	\$13,325
Additional Staff		\$19,139			\$19,139
Chief Executive Officer	30% of time on project	\$15,000	\$15,000		\$30,000
Operations Manager	20% of time on project	\$10,404			\$10,404
Staff Benefits & Additional Expenses (paid time off, health insurance stipend of \$300/month for full-time employees)		\$38,590			\$38,590
Summer Program Activities for 139 students: <ul style="list-style-type: none"> • 52 high school • 35 middle school • 52 elementary 	Swim, sailing, science camp, rock climbing, surf academy, wolf connection camp, college tours	\$145,028	\$55,500	\$2,000	\$172,528
Additional Summer Program Expenses	Transportation, video, telephone	\$14,600			\$14,600
Four Summer Interns, stipends and background checks	Interns work part-time at \$8/hour	\$3,547			\$3,547
Field Trips and Transportation for 139 students: <ul style="list-style-type: none"> • 52 high school • 35 middle school • 52 elementary 	\$13 per student; 4 per year high school, 6 per year elementary/middle	\$286	\$10,000	\$7,000	\$17,286
Test Preparation	\$20 per student for	\$240			\$240

	12 students (SAT)				
Senior Retreat	Twelve students	\$750			\$750
Spring Swim Lessons	For 25 4 th grade students	\$1,406			\$1,406
STEM (science, technology, engineering and math) program	Grades 4-9, weekly instruction for 30 weeks	\$4,225	\$30,000		\$34,225
In-Kind	Classrooms and office space	\$20,775			\$20,775
Program Evaluation		\$15,000			\$15,000
Additional Program Expenses: program supplies, staff meetings, staff mileage, graduation & induction ceremonies, telephone expense		\$9,951			\$9,951
TOTALS:	\$341,246		\$148,000	\$25,000	\$514,246

VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
Crevier Family Foundation	\$25,000
Wells Fargo	\$10,000
Helping Kids Achieve Program	\$30,000
Capital Group	\$5,000
US Bank	\$5,000
Edwards Lifesciences	\$10,000
Boeing Employee's Community Fund	\$7,500
TK Youth Foundation	\$10,000
Southern California Edison	\$5,000
Therese Plunkett Foundation	\$10,000
Samueli Foundation	\$15,000
Zonta Club of Newport Harbor	\$5,500
Reach Out OC (Orange County Community Foundation	\$10,000

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
<i>Fluor Foundation</i>	<i>\$10,000</i>	<i>March 2014</i>
<i>Western Digital Foundation</i>	<i>\$15,000</i>	<i>April 2014</i>
<i>American Honda Foundation</i>	<i>\$25,000</i>	<i>May 2014</i>
<i>Croul Family Foundation</i>	<i>\$20,000</i>	<i>June 2014</i>
<i>Ueberroth Family Foundation</i>	<i>\$60,000</i>	<i>May 2014</i>
<i>Diane and Bruce Halle Foundation</i>	<i>\$15,000</i>	<i>May 2014</i>
<i>The California Wellness Foundation (discretionary fund)</i>	<i>\$15,000</i>	<i>April 2014</i>

VII. Financial Analysis

Agency Name: El Viento Foundation

Most Current Fiscal Year (Dates): From July 1, 2012 **To:** June 30, 2013

This section presents an overview of an applicant organization’s financial health and will be reviewed along with the grant proposal. Provide all the information requested on your entire organization. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. Double Check your figures!

Program to Total Expenses Ratio: Percentage of expenses used to support programming versus how much is spent for general management and fundraising. A general rule is that at least 75 percent of total expenses should be used to support programs – the higher the percentage the better.

Program Expenses	/Total Operating Expenses	= Program Expense Ratio
\$540,768 <u>510,335</u>	\$644,105 <u>630,296</u>	<u>84</u> %

990: Part IX, Column B, Line 25 990: Part IX, Column A, Line 25

W/ FUNDRAISING

Administrative Expense (100%-Program Expense ratio) per 990 above	Percentage of Organization’s Current Total Budget used for Administration (from cover page)	Differential
<u>16</u> %	20 %	<u>4</u> %

If the differential is above (+) or below (-) 10%, provide an explanation:

Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$358,811	\$0	0	358,811

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$(125,198)	\$51,399

Notes: From independent reviews FYE June 2013 and June 2012. The net assets covered the deficit and at the end of FYE June 2013 were at \$766,836.

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$210,858	31%	Program Fees	\$0	
Fundraising/Special Events	\$46,825	7%	Interest Income	\$12,884	2%
Corp/Foundation Grants	\$193,680	29%	Other: in-kind	\$162,959	24%
Government Grants	\$0		Other: interest in endowment	\$46,949	7%

Notes:



BOARD of DIRECTORS 2013-14 FISCAL YEAR

Jeanne Baird, Chairman

Retired, Vocational Specialist

jeannebaird@cox.net
Mobile (949) 632-3441
Home (949) 495-4996
22812 Misty Sea Drive
Laguna Niguel, CA 92677

Executive Board Members:

Katherine Bihl, Ed.D., Vice-Chairman

Executive Director,
Tiger Woods Learning Center

kbihl@twlc.org
Office (714) 765-8040
Mobile (714) 602-0727
One Tiger Woods Way
Anaheim, CA 92801

Louise Upham, Secretary

Retired, Educator

lupham@sbcglobal.net
Mobile (949) 300-2253
2631 Alta Vista
Newport Beach, CA 92660

Bob Cummings, Treasurer

Owner,
Sir Speedy Printing and Marketing

rbcumings2@hotmail.com
Office (714) 897-6090
Mobile (714) 315-2666
15140 Transistor Lane
Huntington Beach, CA 92649

Charles Bunten

Director of Corporate Contract
Services & Risk Management,
UST Global Inc.

charles.bunten@ust-global.com
chariebunten@att.net
Mobile (714) 745-0154
20 Enterprise, 4th floor
Aliso Viejo, CA 92656

Ding-Jo Currie, Ph.D.

Former Chancellor,
Coast Community College District &
Full Professor, CA State Univ Fullerton

dcurrie@unitedea.org
Mobile (714) 315-2988
8811 Fry Circle
Huntington Beach, CA 92646

Roni Ellis

Director Administration & Community
Relations, Ocean View School District

rellis@ovsd.org
Office (714) 847-2551, ext. 1360
17200 Pinehurst Lane
Huntington Beach, CA 92647

Mark Gardner, Chairman

Emeritus

Tenant Advisor, Travers Realty Corp.

mgardner@traversrealty.com
Office (949) 644-5900
Mobile (714) 724-4982
840 Newport Center Drive, Suite 770
Newport Beach, CA 92660

Arthur Gome

Retired, Garment Industry Executive

arthurscottgome@gmail.com
Mobile (714) 213-3012
12512 Camus Lane #4
Garden Grove, CA 92841

Juan Francisco Lara, Ph.D.

Assistant Vice Chancellor Emeritus,
University of California, Irvine

lara@uci.edu
Mobile (626) 390-0176
2181 Brigden Road
Pasadena, CA 91104

Amanda Loney

Office Services Coordinator, Capital
Group

amanda.loney@gmail.com
Office (949) 705-1920
Mobile (949) 678-3006
6455 Irvine Center Drive
Irvine, CA 92618

Gregory S. Plutko, Ed.D.

Superintendent, Huntington Beach
Union High School District

gplutko@hbuhisd.edu
Office (714) 903-7000, ext 4200
Mobile (909) 208-0018
5832 Bolsa Avenue
Huntington Beach, CA 92649

Jack Shaw, Co-Founder

Retired, Vice Chairman, Deloitte
& Touche LLP

Bluelobster35@gmail.com
Mobile (714) 813-6593
P.O. Box 3369
Newport Beach, CA 92659

Ellen K. Shockro, Ph.D., Co-Founder

Retired, Professor, Pasadena City
College

eshockro@gmail.com
Mobile (949) 500-9554
P.O. Box 3369
Newport Beach, CA 92659

Laura Swartz

Retired, Senior VP Corporate
Initiatives, Wells Fargo

laurajswartz@rocketmail.com
Mobile (858) 740-4252
1020 Delaware St.
Huntington Beach, CA 92648

Deborah Weed

Lead Business Systems Analyst, Toyota
Financial Services

deborah.weed@gmail.com
Office (310) 468-6565
Mobile (310) 994-1980
19001 S. Western Avenue, MS:WF31
Torrance, CA 90501

Andrew R. Zimbaldi

President, Alden Management Group
azimbaldi@aldenmanagement.com

Office (714) 751-7858
Mobile (949) 887-0923
150 Paularino Ave., Suite 194
Costa Mesa, CA 92626-3302

EL VIENTO FOUNDATION Budget Comparison

	Actuals	Budget	Variance
	Most Recently	Projections	
	Completed Year	Current Year	
	FYE 6/2013	FYE 6/2014	
Income			
Individual Contributions	\$9,933.00	\$90,000.00	\$80,067.00
Corporate Contributions	\$200,925.00	\$35,000.00	(\$165,925.00)
Foundation Grants	\$193,680.00	\$435,500.00	\$241,820.00
Government Contributions	\$0.00	\$0.00	\$0.00
Other Earned Income	\$46,825.00	\$49,000.00	\$2,175.00
Other Unearned Income	\$0.00	\$0.00	\$0.00
Interest & Dividend Income	\$59,833.00	\$0.00	(\$59,833.00)
Total Income	\$511,196.00	\$609,500.00	\$98,304.00
Expenditures			
Personnel			
Salary CEO	\$100,000.00	\$100,000.00	\$0.00
Salary Assistant	\$0.00	\$52,683.00	\$52,683.00
Payroll Taxes	\$11,250.00	\$17,176.84	\$5,926.84
Insurance - Workers' Comp	\$1,420.00	\$2,168.10	\$748.10
Insurance - Health	\$0.00	\$3,600.00	\$3,600.00
Payroll Services	\$4,980.00	\$7,603.61	\$2,623.61
Retirement	\$0.00	\$0.00	\$0.00
Total Personnel	\$117,650.00	\$183,231.55	\$65,581.55
General Program/Administrative			
Bank/Investment Fee	\$11,515.00	\$5,800.00	(\$5,715.00)
Publications	\$0.00	\$0.00	\$0.00
Conferences & Meetings	\$2,623.00	\$5,158.00	\$2,535.00
Mileage	\$0.00	\$3,620.00	\$3,620.00
Audit & Accounting	\$1,581.00	\$2,500.00	\$919.00
Program Consultants	\$168,317.00	\$149,787.00	(\$18,530.00)
Insurance Expense	\$6,622.00	\$6,200.00	(\$422.00)
Telephone Expense - Land Lines	\$0.00	\$0.00	\$0.00
DSL & Internet	\$0.00	\$0.00	\$0.00
Website	\$0.00	\$0.00	\$0.00
Office Supplies	\$4,940.00	\$6,400.00	\$1,460.00
Postage & Delivery	\$303.00	\$400.00	\$97.00
Printing & Copying	\$3,119.74	\$5,660.00	\$2,540.26
Miscellaneous	\$17,581.00	\$25,000.00	\$7,419.00
Total General Program/Administrative	\$216,601.74	\$210,525.00	(\$6,076.74)
Total Expenditures	\$334,251.74	\$393,756.55	\$59,504.81
Revenue Less Expense	\$176,944.26	\$215,743.45	\$38,799.19

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.....				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22.....	21,907.	21,907.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members.....				
5 Compensation of current officers, directors, trustees, and key employees.....	190,739.	108,098.	61,981.	20,660.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).....	0.	0.	0.	0.
7 Other salaries and wages.....	84,227.	64,811.	14,155.	5,261.
8 Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions).....				
9 Other employee benefits.....	5,564.	5,564.		
10 Payroll taxes.....	37,544.	18,663.	14,161.	4,720.
11 Fees for services (non-employees):				
a Management.....				
b Legal.....	3,272.		3,272.	
c Accounting.....	1,581.		1,581.	
d Lobbying.....				
e Professional fundraising services. See Part IV, line 17...				
f Investment management fees.....				
g Other. (If line 11g amt exceeds 10% of line 25, column (A) amt, list line 11g expenses on Sch O.).....	3,000.	1,500.	1,500.	
12 Advertising and promotion.....	3,120.			3,120.
13 Office expenses.....	4,940.	592.	592.	3,756.
14 Information technology.....				
15 Royalties.....				
16 Occupancy.....				
17 Travel.....				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.....				
19 Conferences, conventions, and meetings....				
20 Interest.....				
21 Payments to affiliates.....				
22 Depreciation, depletion, and amortization...				
23 Insurance.....	64,522.	57,668.	6,622.	232.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.).....				
a <u>COORDINATOR</u>	69,842.	69,842.		
b <u>ACTIVITIES & TRIPS</u>	63,160.	63,160.		
c <u>MISCELLANEOUS</u>	35,965.	23,511.	2,875.	9,579.
d <u>BANK FEES</u>	11,515.		11,515.	
e All other expenses.....	29,398.	6,789.	1,707.	20,902.
25 Total functional expenses. Add lines 1 through 24e...	630,296.	442,105.	119,961.	68,230.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).....				

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: APR 18 2005

EL VIENTO FOUNDATION
PO BOX 3897
HUNTINGTON BEACH, CA 92605-3897

Employer Identification Number:
33-0905269
DLN:
17053085741035
Contact Person:
DAN W BERRY ID# 31122
Contact Telephone Number:
(877) 829-5500
Public Charity Status:
170(b)(1)(A)(vi)

Dear Applicant:

Our letter dated December 2000, stated you would be exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code, and you would be treated as a public charity, rather than as a private foundation, during an advance ruling period.

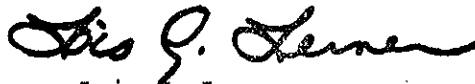
Based on the information you submitted, you are classified as a public charity under the Code section listed in the heading of this letter. Since your exempt status was not under consideration, you continue to be classified as an organization exempt from Federal income tax under section 501(c)(3) of the Code.

Publication 557, Tax-Exempt Status for Your Organization, provides detailed information about your rights and responsibilities as an exempt organization. You may request a copy by calling the toll-free number for forms, (800) 829-3676. Information is also available on our Internet Web Site at www.irs.gov.

If you have general questions about exempt organizations, please call our toll-free number shown in the heading between 8:30 a.m. - 5:30 p.m. Eastern time.

Please keep this letter in your permanent records.

Sincerely yours,



Lois G. Lerner
Director, Exempt Organizations
Rulings and Agreements

Letter 1050 (DO/CG)

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: DEC 19 2000

EL VIENTO FOUNDATION
C/O JOHN C SHAW
PO BOX 3369 52 BEACON BAY
NEWPORT BEACH, CA 92659

Employer Identification Number:
33-0905269
DLN:
17053235007000
Contact Person:
R. DIZON ID# 95004
Contact Telephone Number:
(877) 829-5500
Accounting Period Ending:
December 31
Foundation Status Classification:
509(a)(1)
Advance Ruling Period Begins:
April 6, 2000
Advance Ruling Period Ends:
December 31, 2004
Addendum Applies:
No

Dear Applicant:

Based on information you supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from federal income tax under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3).

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably expect to be a publicly supported organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

Accordingly, during an advance ruling period you will be treated as a publicly supported organization, and not as a private foundation. This advance ruling period begins and ends on the dates shown above.

Within 90 days after the end of your advance ruling period, you must send us the information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, we will classify you as a section 509(a)(1) or 509(a)(2) organization as long as you continue to meet the requirements of the applicable support test. If you do not meet the public support requirements during the advance ruling period, we will classify you as a private foundation for future periods. Also, if we classify you as a private foundation, we will treat you as a private foundation from your beginning date for purposes of section 507(d) and 4940.

Grantors and contributors may rely on our determination that you are not a private foundation until 90 days after the end of your advance ruling period. If you send us the required information within the 90 days, grantors and contributors may continue to rely on the advance determination until we make

Letter 1045 (DO/CG)

EL VIENTO FOUNDATION

a final determination of your foundation status.

If we publish a notice in the Internal Revenue Bulletin stating that we will no longer treat you as a publicly supported organization, grantors and contributors may not rely on this determination after the date we publish the notice. In addition, if you lose your status as a publicly supported organization, and a grantor or contributor was responsible for, or was aware of, the act or failure to act, that resulted in your loss of such status, that person may not rely on this determination from the date of the act or failure to act. Also, if a grantor or contributor learned that we had given notice that you would be removed from classification as a publicly supported organization, then that person may not rely on this determination as of the date he or she acquired such knowledge.

If you change your sources of support, your purposes, character, or method of operation, please let us know so we can consider the effect of the change on your exempt status and foundation status. If you amend your organizational document or bylaws, please send us a copy of the amended document or bylaws. Also, let us know all changes in your name or address.

As of January 1, 1984, you are liable for social security taxes under the Federal Insurance Contributions Act on amounts of \$100 or more you pay to each of your employees during a calendar year. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the private foundation excise taxes under Chapter 42 of the Internal Revenue Code. However, you are not automatically exempt from other federal excise taxes. If you have any questions about excise, employment, or other federal taxes, please let us know.

Donors may deduct contributions to you as provided in section 170 of the Internal Revenue Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Donors may deduct contributions to you only to the extent that their contributions are gifts, with no consideration received. Ticket purchases and similar payments in conjunction with fundraising events may not necessarily qualify as deductible contributions, depending on the circumstances. Revenue Ruling 67-246, published in Cumulative Bulletin 1967-2, on page 104, gives guidelines regarding when taxpayers may deduct payments for admission to, or other participation in, fundraising activities for charity.

Contributions to you are deductible by donors beginning April 6, 2000.

You are not required to file Form 990, Return of Organization Exempt From Income Tax, if your gross receipts each year are normally \$25,000 or less. If you receive a Form 990 package in the mail, simply attach the label provided, check the box in the heading to indicate that your annual gross receipts are normally \$25,000 or less, and sign the return. Because you will be treated as

EL VIENTO FOUNDATION

a public charity for return filing purposes during your entire advance ruling period, you should file Form 990 for each year in your advance ruling period that you exceed the \$25,000 filing threshold even if your sources of support do not satisfy the public support test specified in the heading of this letter.

If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. A penalty of \$20 a day is charged when a return is filed late, unless there is reasonable cause for the delay. However, the maximum penalty charged cannot exceed \$10,000 or 5 percent of your gross receipts for the year, whichever is less. For organizations with gross receipts exceeding \$1,000,000 in any year, the penalty is \$100 per day per return, unless there is reasonable cause for the delay. The maximum penalty for an organization with gross receipts exceeding \$1,000,000 shall not exceed \$50,000. This penalty may also be charged if a return is not complete. So, please be sure your return is complete before you file it.

You are not required to file federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You are required to make your annual information return, Form 990 or Form 990-EZ, available for public inspection for three years after the later of the due date of the return or the date the return is filed. You are also required to make available for public inspection your exemption application, any supporting documents, and your exemption letter. Copies of these documents are also required to be provided to any individual upon written or in person request without charge other than reasonable fees for copying and postage. You may fulfill this requirement by placing these documents on the Internet. Penalties may be imposed for failure to comply with these requirements. Additional information is available in Publication 557, Tax-Exempt Status for Your Organization, or you may call our toll free number shown above.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, we will assign a number to you and advise you of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

This determination is based on evidence that your funds are dedicated to the purposes listed in section 501(c)(3) of the Code. To assure your continued exemption, you should keep records to show that funds are spent only for those purposes. If you distribute funds to other organizations, your records should show whether they are exempt under section 501(c)(3). In cases where the recipient organization is not exempt under section 501(c)(3), you must have evidence that the funds will remain dedicated to the required purposes and that the recipient will use the funds for those purposes.

Letter 1045 (DO/CG)

EL VIENTO FOUNDATION

If you distribute funds to individuals, you should keep case histories showing the recipients' names, addresses, purposes of awards, manner of selection, and relationship (if any) to members, officers, trustees or donors of funds to you, so that you can substantiate upon request by the Internal Revenue Service any and all distributions you made to individuals. (Revenue Ruling 56-304, C.B. 1956-2, page 306.)

If we said in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help us resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,

Steven T. Miller
Director, Exempt Organizations

Enclosure(s):
Form 872-C

Letter 1045 (DO/CG)



The
Community
Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS

June 12, 2014

James Cuevas
Chair of the Board

Philip Savage IV
Vice Chair of the Board

Pat Spafford, CPA
Chief Financial Officer

Sean Varner
Secretary of the Board

Glenda Bayless

Sergio Bohon

Rabbi Hillel Cohn

Andrea Dutton

Robert Fey

Paul Granillo

Stanley Grube

Kirk Harns

Dr. Albert Karnig

D. Matthew Pim

Patrick O'Reilly

Rose Salgado

Beverly Stephenson

Grover Trask
Immediate Past Board Chair

Dr. Jonathan Lorenzo Yorba
President and CEO

Ms. Colleen Mensel
Chief Executive Officer
El Viento Foundation
P.O. Box 3897
Huntington Beach, CA 92605-3897

Dear Ms. Mensel:

Congratulations! A grant has been approved for **El Viento Foundation** in the amount of **\$18,000** from the S.L. Gimbel Foundation. The **performance period for this grant is July 1, 2014 to June 30, 2015**. Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

Giving Flight to the Youth of Oak View Community: Offer opportunities to students living in poverty to develop academic and socioical skills. Note: grant amount was reduced by \$7,000 for field trips. This expense is not covered by grant funds.

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the enclosed copy and return the original copy to The Community Foundation within the next two weeks. Please retain a copy of the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. The **Grant Evaluation is due on July 15, 2015** and a copy will be available online at www.thecommunityfoundation.net under Grants/Forms.

If you have any questions, please call me at 951-684-4192 ext. 114 or email me at ccudiamat@thecommunityfoundation.net.

Sincerely,

Celia Cudiamat
Executive Vice President

El Viento Foundation 20140336 GIMB2



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

3700 Sixth Street, Suite 200 ~ Riverside, California 92501
P: 951.241.7777 ~ F: 951.684.1911 ~ www.thecommunityfoundation.net

2014 S.L. Gimbel Foundation Fund

Grant Agreement

Organization: El Viento Foundation
Grant Amount: \$ 18,000 **Grant Number:** 20140336
Grant Period: July 1, 2014 through June 30, 2015 (Final report due by July 15, 2015)
Purpose: Giving Flight to the Youth of Oak View Community: Offer opportunities to students living in poverty to develop academic and social skills. Grant amount reduced by \$7,000 for field trips not covered by grant funds.

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

6. Publicity

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the **"S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California"** is suggested. When your donors are listed in printed materials, include the S.L.

Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at www.thecommunityfoundation.net.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.

Colleen Mensef
Signature

06/18/2014
Date

Colleen Mensef
Printed Name

CEO
Title

Organization: 20823 El Viento Foundation
Grant Number: 20140336

06/25/14



The
Community
Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS

James Cuevas June 27, 2014
Chair of the Board

Philip Savage IV Ms. Colleen Mensel
Vice Chair of the Board Chief Executive Officer

Pat Spafford, CPA El Viento Foundation
Chief Financial Officer P.O. Box 3897
Huntington Beach, CA 92605-3897

Sean Varner
Secretary of the Board

Glenda Bayless Dear Ms. Mensel:

Sergio Bohon The Community Foundation is pleased to enclose a grant check for **\$18,000** from the S.
Rabbi Hillel Cohn L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the
Andrea Dutton grant check, you are agreeing to the conditions stated under the *Terms of Grant* which
Robert Fey you have signed and returned. The completed Grant Evaluation form is due by July 15,
Paul Granillo 2015 and will be available online on The Community Foundations website under
Stanley Grube Grants/Forms. Please note that any grant variances or extensions must be requested in
writing and in advance. Any remaining grant funds must be returned to The Community
Foundation at the end of the grant period.

Kirk Harns We greatly appreciate any help you can give us in publicizing the grant. **Please use the**
Dr. Albert Karnig **following credit in any grant announcements or materials funded by the grant: "The**
D. Matthew Pim **(name of project/program) is supported by a grant from The S. L. Gimbel**
Patrick O'Reilly **Foundation."** You may send copies of articles printed in local papers, stories in your
agency newsletter, annual report, press releases, and other publications for our files.

Rose Salgado If you have any questions, please contact me at 951-684-4194.

Beverly Stephenson

Sincerely,

Grover Trask
Immediate Past Board Chair

Dr. Jonathan Lorenzo Yorba
President and CEO

Celia Cudiamat
Executive Vice President

20140336

37534

GIMB2



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

HELD TO LIGHT TO VIEW WATERMARK IN PAPER; HEAT SENSITIVE RED IMAGE DISAPPEARS WITH HEAT; DETECTION CIRCLE REVEALS A LOCK WHEN TESTED

37534

The Community Foundation
Serving the Counties of Riverside and San Bernardino

3700 SIXTH STREET, SUITE 200
RIVERSIDE, CA 92501
951-241-7777 / FAX 951-684-1911

CITIZENS BUSINESS BANK
A Financial Services Company
3695 Main Street, Riverside, CA 92501
90-3414-1222

Equifax Check Fraud
Protection for Business

PAY * Eighteen Thousand and no/100 *

TO THE ORDER OF

El Viento Foundation
P.O. Box 3897
Huntington Beach, CA 92647

DATE 06/19/2014 AMOUNT \$****18,000.00



Celia Andramat
Jonathan Lorenzo Yorba
AUTHORIZED SIGNATURE

Security features. Details on back.

⑈037534⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation			37534
20823	El Viento Foundation	06/19/2014	037534
20140336	06/12/2014 Giving Flight to the Youth of Oak View Community		18,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		18,000.00

CHECK TOTAL: \$****18,000.00

The Community Foundation			37534
20823	El Viento Foundation	06/19/2014	037534
20140336	06/12/2014 Giving Flight to the Youth of Oak View Community		18,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		18,000.00

CHECK TOTAL: \$****18,000.00